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	Application Number	10/616,276
TRANSMITTAL	Filing Date	July 9, 2003
FORM	First Named Inventor	Torsten Hoffmann
(to be used for all correspondence after initial filing)	Art Unit	1626
	Examiner Name	
Total Number of Pages in This Submission 5	Attorney Docket Number	20693 US2
ENC	LOSURES (Check all that	apply)
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53		Other Enclosure(s) (please Identify below):
SIGNATURE	OF APPLICANT, ATTORN	EY, OR AGENT
Firm or Individual Signature October 3, 2003	Pron	
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s): Hoffmann et al.

Group: 1626

Serial No. 10/616,276, filed July 9, 2003

Examiner: Shameem, Golam M.

For: NK-1 RECEPTOR ACTIVE AMINE OXIDE PRODRUGS

# **INFORMATION DISCLOSURE STATEMENT**

Nutley, New Jersey 07110 October 3, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

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Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

None of the documents listed on Form PTO 1449 are being submitted with this IDS because each document has been made of record in the grandparent application, Serial No. 09/904,059, filed July 12, 2001, now 6,593,472.

Serial No. 10/616,276

Filed: July 9, 2003

Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application, no fee is due.

Respectfully submitted,

Attorney for Applicant(s)

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Complete if Known			
Application Number	10/616,276		
Filing Date	July 9, 2003		
First Name Inventor	Torsten Hoffmann et al.		
Group Art Unit	1626		
Examiner Name	Shameem, Golam M.		
Attorney Docket Number	20693 US2		

of 2 Sheet

**U.S. PATENT DOCUMENTS** 

		U.S. Pate			T DOCUMENTS			
Examiner Initials'	Cite No.¹	Number	Kind Code² Number (ifknown)		Name of Patentee or Applicant of Cited Document		Date of Publication of Cited document MM-DD-YYYY	
	A1	5,691,336			Dorn et al.		11/25/1997	
	A2	5,972,938			Rupniak et al.		10/26/1999	
	A3	6,297,375			Bos et al.		10/02/2001	
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			FO]	 REIGN PA	TENT DOCUMENTS			
	<u> </u>	Foreign Paten					e of Publication of	of T
Examiner Initials'	r Cite	Office <sup>3</sup> Nur	nber'	Kind Code <sup>5</sup>	Name of Patentee or Applicant of Cited Document		Cited Document  IM-DD-YYYY	
	B1	WO 95/166	579		Merck & Co.	06/2	22/1995	
	B2	WO 95/181	.24		Merck, Sharpe & Dohme	07/0	06/1995	
	В3	WO 95/237	798		Merck & Co.	09/0	08/1995	
	B4	EP 103 545			F.Hoffmann-La Roche	05/3	30/2001	
	B5	EP 035 115	<u> </u>		F.Hoffmann-La Roche	09/	13/2000	
	<u></u>							<u> </u>

Examiner	Date Considered	
Signature		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Unique citation designation number. \*See attached Kinds of U.S. Patent Documents. \*Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation or abstract is attached.

Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE 10/616,276 Application Number STATEMENT BY APPLICANT July 9, 2003 Filing Date First Name Inventor Torsten Hoffmann et al. (Use several sheets if necessary) Group Art Unit 1626 Examiner Name Shameem, Golam M. 2 Of 2 Attorney Docket Number 20693 US2 Sheet

#### NON PATENT LITERATURE DOCUMENTS

<del></del>	NON PATENT LITERATURE DOCUMENTS	
Cite No. <sup>1</sup>	of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-	T <sup>2</sup>
C1	HANS BUNDGAARD, Drugs of the Future, Vol. 16(5), pp. 443-458 (1991)	
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C5	MAGGI ET AL., J. Auton. Pharmacol, Vol. 13, pp. 23-93 (1993)	
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C8	DOI ETAL., European Journal of Pharmacology, Vol. 383(3), pp. 297-303 (1999)	
C9	YOSHINORI IKEURA ET AL., Chem. Pharm. Bull., Vol. 45(10), pp. 1642-1652 (1997)	
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	DATE CONSIDERED	
	C1 C2 C3 C4 C5 C6 C7 C8	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published  C1 HANS BUNDGAARD, Drugs of the Future, Vol. 16(3), pp. 443-458 (1991)  C2 BARKER, Reviews in the Neurosciences, Vol. 7, No. 3, pp. 187-214 (1996)  C3 LONGMORE ET AL., Can. J. Physiol. Pharmacol., Vol. 75, pp. 612-621 (1997)  C4 MARK S. KRAMER ET AL., Science, Vol. 281, pp. 1640-1645  C5 MAGGI ET AL., J. Auton. Pharmacol, Vol. 13, pp. 23-93 (1993)  C6 NAVARI ET AL., The New England Journal of Medicine, Vol. 340, No. 3, pp. 190-195 (1999)  C7 MAGGI ET AL., Neuropeptides, Vol. 32(1), pp. 1-49 (1998)  C8 DOI ETAL., European Journal of Pharmacology, Vol. 383(3), pp. 297-303 (1999)  C9 YOSHINORI IKEURA ET AL., Chem. Pharm. Bull., Vol. 45(10), pp. 1642-1652 (1997)

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